

### **Job Description**

Department	Compliance
Corporate Title	Manager or Senior Manager
Reporting Line	Chief Legal and Compliance Officer

#### **Job Duties:**

- To review marketing/training/other relevant documentation/materials from compliance perspective;
- To conduct compliance monitoring and testing;
- To conduct investigation on customer complaints/mis-conduct/violation of applicable law/regulations;
- To conduct other ad-hoc compliance related work as required.

#### **Qualifications & Requirements:**

- Degree in Law, Finance or Business related disciplines;
- Above 6 years' working experience in the compliance field in life insurance;
- Good knowledge of life insurance and related regulatory requirements (e.g., GLs issued by IA and AML/CRS/FATCA);
- Good research and analytical skills;
- Ability to work under pressure;
- Problem-solving;
- Proficiency in Chinese as well as English; proficiency in Mandarin would be an advantage.
- Candidate has more experience would be considered as Senior Manager