

Job Description

Department	Compliance
Corporate Title	Senior Supervisor or Assistant Manager
Reporting line	Assistant Vice President

Job Duties:

- To review marketing/training/other relevant documentation/materials from compliance perspective;
- To conduct compliance monitoring and testing;
- To liaise with regulators, and prepare compliance/risk reports and relevant correspondences;
- To conduct investigation on customer complaints/mis-conduct/violation of applicable law/regulations;
- To conduct AML/CRS/FATCA related review and/or investigation;
- To conduct other ad-hoc compliance related work as required.

Qualifications & Requirements:

- Degree in Law, Finance or Business related disciplines;
- 3-5 years' working experience in compliance and/or risk management and/or audit field(s) in life insurance;
- Good knowledge of life insurance and related regulatory requirements;
- Good research and analytical skills;
- Ability to work independently and under pressure;
- Problem-solving;
- Excellent proficiency in Chinese as well as English; proficiency in Mandarin would be an advantage.